

EXHIBIT 5
PART 3 OF 3

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1 take a recess for a minute.

2 [Off the record.]

3 PRESIDING COMMISSIONER WELCH: Mr. Ngo, in
4 case --

5 DEPUTY COMMISSIONER MEJIA: We're back on
6 record.

7 PRESIDING COMMISSIONER WELCH: Mr. Ngo, in
8 case you receive a parole date, tell the Panel
9 where you plan to live.

10 INMATE NGO: I'll be living with my mom to
11 start off.

12 PRESIDING COMMISSIONER WELCH: Where does
13 your mom live?

14 INMATE NGO: In Monterey Park.

15 PRESIDING COMMISSIONER WELCH: Do you have
16 an INS hold?

17 INMATE NGO: No, Sir.

18 PRESIDING COMMISSIONER WELCH: Are you a US
19 citizen?

20 INMATE NGO: Yes, Sir.

21 PRESIDING COMMISSIONER WELCH: Okay, and how
22 do you plan to support yourself?

23 INMATE NGO: I'll be working at my uncle's
24 restaurant for now, (inaudible) chef.

25 PRESIDING COMMISSIONER WELCH: Okay, and you
26 have lots of support letters in the file and your
27 affidavit that you submitted. And it appears that

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1 you do have employment and you have a place to
2 live. I'll start off by going over some of your
3 letters. Your mom wrote you a letter, her name is
4 Ngo Phuong? Phuong Huynh?

5 INMATE NGO: Phuong Huynh.

6 PRESIDING COMMISSIONER WELCH: Phuong Huynh,
7 okay, let me just spell the first name, the first
8 name is spelled P-H-U-O-N-G, and the middle name
9 is H-Y -- H-U-Y-N-H, and the last name is the same
10 as the prisoner's, N-G-O. Anyway, she writes you
11 a very supportive letter. She says,

12 "As the birth mother of Sieu Ngo, I
13 am writing to request for your
14 leniency and kind review of the
15 parole of Sieu Ngo. Years ago our
16 family immigrated into the United
17 States from Vietnam. With the kind
18 support from the US Government and
19 local communities, we settled down in
20 California and became US citizens in
21 1979. All of our family members are
22 deeply indebted to our government and
23 community and we are determined to
24 serve our country when called for.
25 In fact, my children volunteer at
26 school and communities and help out
27 kids. I have six children, some are

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1 college graduates and are doing very
2 well in their careers, such as Chi
3 Phong Ngo, middle name is -- I mean,
4 first name is C-H-I, middle name is
5 P-H-O-N-G. My son is a quality
6 assurance software engineer. Judy
7 Seeto --" Seeto?

8 INMATE NGO: Correct.

9 PRESIDING COMMISSIONER WELCH:

10 "--S-E-E-T-O, my daughter, is
11 pursuing a college degree while
12 working full-time at Pacific Care.
13 And Lan Lau, L-A-N, last name is
14 L-A-U, another daughter, is an
15 assistant technician working at DG --
16 DCG Group Pacific Bell, SPG
17 (inaudible) Solutions Incorporated.
18 My husband was running a paint
19 business. Unfortunately he passed
20 away in 1995 at the age of 47. Some
21 of my uncles and aunts are business
22 owners of restaurants and stores. I
23 used to run a family business too, a
24 convenience store."

25 And she says,

26 "Sieu Ngo have been working and
27 studying hard in prison and doing

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1 well in his college studies. Please
2 be assured that Sieu Ngo, if paroled,
3 will be well taken care of and
4 supervised by his family, relatives,
5 and friends. We, his immediate
6 family members, will support him in
7 every aspect of life. We plan to let
8 him complete his college studies
9 before entering the workforce. We
10 are financially capable and
11 emotionally prepared and spiritually
12 determined to help Sieu Ngo and his
13 endeavors to create his reborn life
14 and become a contributing member to
15 our society. Thank you in
16 anticipation of your kind review in
17 granting Sieu Ngo parole."

18 And your mother signed that. Very supportive.
19 And another one from Gavin Ung. Is that U-N-G?

20 INMATE NGO: Yes, my uncle.

21 PRESIDING COMMISSIONER WELCH: He says,
22 "I am in the Chinese restaurant
23 business and currently employ about
24 20 workers. Sieu, if released from
25 prison, he will also be welcomed to
26 work for me if he so chooses.
27 Besides me willing to provide him

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1 with accommodation, transportation,
2 and job, I believe there are a lot of
3 other relatives such as his mom,
4 siblings, uncles, and aunts who are
5 willing -- who will always be more
6 than willing to help with all his
7 essential daily needs."

8 Okay, and I have another one from Chi Ngo/Judy Ngo
9 -- slash Judy Ngo, and she writes -- she's writing
10 on behalf of her brother. She feels that you're a
11 good man and, "Tom is a good man and will remain
12 so. He's a caring person who wants to take care
13 of his mother if he gets a second chance. My wife
14 and I can fully support him financially and care
15 for all his personal needs including housing. I
16 believe Tom --" Do you also go by the name of Tom?

17 INMATE NGO: Yes, that's my middle name.

18 PRESIDING COMMISSIONER WELCH: Okay. "I
19 believe Tom -- I believe in Tom and only good will
20 shine from him as each day passes." Another
21 letter here from --

22 INMATE NGO: Thanah.

23 PRESIDING COMMISSIONER WELCH: T-H-A-N-A-H,
24 he writes you a very supportive letter.

25 INMATE NGO: She.

26 PRESIDING COMMISSIONER WELCH: She, okay,
27 your older sister I'm trying to say.

1 INMATE NGO: Second oldest.

2 PRESIDING COMMISSIONER WELCH: Second oldest

3 sister. And she says,

4 "As you can see he's just the kind of

5 person and always there for anyone

6 who needs help. Because of his

7 caring and kind personality, he has

8 done some harm to himself, this is

9 how he got himself into this mess.

10 I'm sure that the whole mess has

11 taught my brother to beware of his

12 friends. Sieu's decision to hang out

13 with his friends was a costly one.

14 My brother was a young naïve. Now

15 he's a grownup person and realizes

16 his actions and takes responsibility

17 to make changes to become a better

18 person."

19 And she goes on to say, "Our family have already

20 arranged for his support once he's released, my

21 husband's store," and she writes the telephone

22 number, "and is located on (indiscernible) Avenue

23 and Alhambra. And a matter of fact his uncle --

24 his aunts and uncle also offered him work in their

25 restaurant. The store name is Hot Wok --"

26 INMATE NGO: Hot Wok.

27 PRESIDING COMMISSIONER WELCH: That's H-O-T

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1 W-O-K, "-- and that's in Fullerton. And there's a
2 First (inaudible) Kitchen that's in Anaheim.
3 Housing would not be a problem. And we all make
4 arrangements for him." So Duc Ngo?

5 INMATE NGO: Duc Ngo.

6 PRESIDING COMMISSIONER WELCH: D-U-C Ngo.

7 Anyway, that's your brother, says, "I'm writing a
8 letter in support of my brother." He writes you a
9 very supportive letter and he pretty much voices
10 the same thing that the other ones wrote. I have
11 another letter here from your defense attorney at
12 the time and I'll read his letter. He says, "I
13 represented --" her letter. I should say,

14 "I represented Mr. Ngo in the case
15 which sent him to prison. I have
16 been a criminal defense attorney for
17 over 26 years and have represented
18 over 40 persons accused of homicides.
19 I do not see my clients through rose
20 colored glasses and have never
21 written a letter like this on behalf
22 of an inmate for a parole hearing.
23 The circumstances of Mr. Sieu's case
24 compel me to make a statement in this
25 instant. At the time of the incident
26 he was a very likable young man and
27 with no significant criminal history.

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1 My recollection, he had no conviction
2 of any crime or violence. The
3 incident in question was very
4 different from the typical gang case
5 and in fact a work sketching for your
6 review, Sieu and his friends was a
7 wannabe type group who did not really
8 have a significant history of tough
9 turf in Orange County as a gang. On
10 the day of the incident, some of
11 Sieu's friends by chance went to
12 McDonald's which was near Fullerton
13 High School in North Orange County.
14 Sieu was not present at the time.
15 One of Sieu's ultimate codefendants
16 got in a staring match with the
17 decedent and some of his friends who
18 were members of the Toker Gang --
19 Toker Town, a long established
20 Hispanic group in Fullerton.
21 Essentially the Toker, that's spelled
22 T-O-K-E-R T-O-W-N -- Essentially the
23 Toker Town Group told Sieu's friends
24 that they, Cooks, that's C-O-O-K-S,
25 were not welcome in Fullerton where
26 some of them already live and they
27 should get out of town. (Inaudible)

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1 Sieu's friends decided to confront
2 the decedent's group after school got
3 out that day. Sieu was called to
4 help out in case they were
5 outnumbered. Their group waited at
6 the school and confronted the
7 decedent and one of his friends about
8 two blocks out of Fullerton High
9 School, not on school grounds. From
10 all appearances, this was intended to
11 be a fistfight. Sieu and his friends
12 who had been in the stare-down
13 approached the decedent and a friend
14 who was walking on the sidewalk and a
15 fistfight is how it started.
16 However, the decedent's friend fled
17 just after the punching began and
18 left Sieu and his friend fighting the
19 decedent who was much larger than
20 either of them. Of course this was
21 unfair but nothing at this point
22 suggested that this was intended to
23 be a homicide. A third member of
24 Sieu's -- A third member of the group
25 who was part of -- A third member of
26 the group who was part of (inaudible)
27 from where their car was parked to

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1 the scene of the site, he reached up
2 while the fight was in progress, shot
3 the decedent, killed him, and
4 narrowly missed Sieu. Sieu and all
5 of his friends then fled, ultimately
6 being arrested out of state.

7 Evidence was received to show that
8 Sieu and his friends knew that a gun
9 was in a car. Based largely upon
10 that and the theory of foreseeable
11 consequences, he and all of his
12 codefendants were convicted. Sieu
13 was not the shooter and no evidence
14 to show that he suggested,
15 encouraged, abated -- or abetted the
16 shooting in any way. After the
17 shooting, Sieu angrily confronted the
18 shooter, demanded to know why he
19 brought out the gun and asserted that
20 he, Sieu, didn't know the gun was
21 going to be used. In summary, this
22 was not a drive-by or a similar gang
23 crime where everyone knew (inaudible)
24 should have known that death or
25 serious injury was intended. On the
26 contrary, this appears to be an
27 impulsive act by one member of the

1 group which due to the rest of the
2 circumstances swept them all up by
3 way of the derivative liability --
4 derivative liability,
5 D-E-R-I-V-A-T-I-V-E. I am not
6 suggesting that Sieu and the other
7 non-shooters bear no responsibility
8 for the tragic outcome, but for the
9 fight of course, no shooting would
10 have taken place. However, I would
11 submit that the circumstances here
12 are significantly mitigated where --
13 when considered against other
14 convictions of this type. Assuming
15 that Sieu's performance within the
16 Department of Corrections has been
17 positive, I would urge you to parole
18 at the earliest possible time."

19 Donald J. Rubright -- Donald G. Rubright, that's
20 R-U-B-R-I-G-H-T, Senior Deputy Public Defender,
21 Orange County. Okay, and you have other letters
22 and some are duplicates and you have other family
23 members providing support. And Raymond Seeto, the
24 brother-in-law, also writes you a supportive
25 letter and he notes that,

26 "Putting a roof over his head won't
27 be a problem. He can stay with his

1 mother, brother, or sisters. Tom has
2 a large extended family. This is his
3 base of support. Lastly, I beg the
4 Board to look at the facts
5 surrounding his conviction. He was a
6 young -- He was young and naïve."

7 So you have lots of family support and you have
8 lots of job offers and you have people offering
9 you a place to live. And most importantly you
10 have a letter from the Public Defender's Office
11 outlining the case and there's no reason to
12 dispute what he's saying is true and he wrote you
13 a very supportive letter. And I have to say I
14 haven't seen too many letters from the Public
15 Defender's Office in support of parole. And with
16 that we'll go to 3042 Notices. We sent out
17 notices pursuant to Penal Code 3042. We sent
18 those out to different agencies that would have an
19 interest in your case. I do see a letter here
20 from the Orange County Deputy District Attorney's
21 Office and basically what it's saying is that the
22 District Attorney's Office will be attending the
23 life parole consideration hearing, and they have
24 their representative here and at the appropriate
25 time she'll have something to say about your
26 parole suitability. Okay, with that we'll go to
27 questions. Commissioner, do you have any

1 questions?

2 DEPUTY COMMISSIONER MEJIA: Yes. It looks
3 like you've been attending NA, AA, or self-help
4 since '97?

5 INMATE NGO: I was attending mostly -- I was
6 attending both at the time but I dropped out of AA
7 because I feel that I don't need it because I
8 don't drink, I'm allergic to alcohol. I never
9 drank. And I think that I might -- you know,
10 because of my drug offense, I decided to go to NA
11 to better myself, to learn, so that's why I've
12 been attending NA.

13 DEPUTY COMMISSIONER MEJIA: What have you
14 learned so far?

15 INMATE NGO: To stay sober, to be a better
16 person. Drugs can really harm a person, I mean,
17 change a person, even though you are guilty or
18 not, drugs can just alter your state of mind, you
19 can't function properly using drugs.

20 DEPUTY COMMISSIONER MEJIA: Did they teach
21 the 12-Steps as well?

22 INMATE NGO: Yes.

23 DEPUTY COMMISSIONER MEJIA: Do you remember
24 what -- any of the 12-Steps?

25 INMATE NGO: Yes, I remember all those.

26 DEPUTY COMMISSIONER MEJIA: You remember all
27 of them. Can you give me one?

1 INMATE NGO: Which one?

2 DEPUTY COMMISSIONER MEJIA: Whichever you
3 recall.

4 INMATE NGO: Number one that I admitted to
5 God, to myself that (inaudible) drugs or alcohol,
6 that our lives will become unmanageable. Two,
7 make a decision to turn our will and our lives
8 over to the care of God (inaudible) carry that
9 out. Three, make decisions, turn our will and our
10 lives over to the care of God and (inaudible).
11 Four, made a searching fearless moral inventory
12 about ourselves.

13 DEPUTY COMMISSIONER MEJIA: How old were you
14 when you were involved in this crime?

15 INMATE NGO: I was 19.

16 DEPUTY COMMISSIONER MEJIA: You were 19.
17 You are how old now?

18 INMATE NGO: I'm 31.

19 DEPUTY COMMISSIONER MEJIA: Let me just --
20 [Thereupon, the tape was turned over.]

21 DEPUTY COMMISSIONER MEJIA: When did you get
22 involved with the gangs, you were 18 then, how old
23 were you when you started with the gang?

24 INMATE NGO: Believe it or not -- gang is a
25 harsh word because like there was only like five
26 or six of us, you know, during that time. We were
27 more friends because I (inaudible) know these

1 guys, I've been moved there for about a year, and
2 I hang around with them, you know. So to me it's
3 more like a friend more than a gang but because we
4 live at Fullerton they call themselves Fullerton
5 Boys.

6 DEPUTY COMMISSIONER MEJIA: How old were you
7 when you started hanging out with them?

8 INMATE NGO: I think I was 16 or 17, Sir.

9 DEPUTY COMMISSIONER MEJIA: So about two
10 years.

11 INMATE NGO: Yeah, about two years.

12 DEPUTY COMMISSIONER MEJIA: If you get
13 released to the streets, how are you going to get
14 away from the elements of the gang lifestyle?

15 INMATE NGO: Knowing what a gang can do to a
16 person, I have confidence that I can stay away
17 from them, you know, because I learned from my
18 mistakes, that's how I've grown. I know I can
19 deal with problems differently, you know, just
20 staying away, choose better friends, think before
21 I act, everything, what I've learned in here to be
22 a better person.

23 DEPUTY COMMISSIONER MEJIA: No other
24 questions.

25 PRESIDING COMMISSIONER WELCH: Okay, there's
26 one thing in your brief that a lot of it's clothed
27 in legal terminology, but there is one thing that

1 I think I will put on the record in your defense,
2 it's, "Considering the facts of my personal
3 culpability as established, it is clear that the
4 category --" well, that's not what I'm trying to
5 read. Here it is, the next paragraph says,

6 "Since there are no words adequate
7 enough to express the sincerity of my
8 remorse of the acceptance of
9 responsibility for my past conduct
10 and behavior, I offer the following
11 actions, that I stipulate to the
12 aggravated term of 19 years in
13 accordance with 15CCR243 that I
14 stipulate to whatever other parole
15 conditions that the Board deems
16 necessary upon me."

17 Okay. I was looking for something for your
18 remorse and I guess that's the closest thing I've
19 found there. And under the facts of the crime,
20 starting off where you said, "The facts of the
21 crime shall be discussed with the prisoner to
22 assess determination, the extent of culpability,
23 the Board shall not require the admission --" we
24 already know that. "Furthermore, I fully and
25 truly confess and accept the facts of my personal
26 culpability and responsibility for the life
27 crime." Okay, anyway. Commissioner, District

1 Attorney, do you have any questions?

2 DEPUTY DISTRICT ATTORNEY CONTINI: Just very
3 briefly. Could the Board ask the inmate, he
4 testified or stated that he made up the name Tiger
5 Mafia because he had TM tattooed on his shoulder.
6 What does TM stand for then?

7 INMATE NGO: My ex-girlfriend's name,
8 Theresa May.

9 DEPUTY DISTRICT ATTORNEY CONTINI: Could the
10 Board inquire of the inmate why he would make up
11 another gang affiliation and tell a police officer
12 that?

13 INMATE NGO: Because at the time I just
14 wanted to throw off the investigator because when
15 I was arrested they knew I was from Fullerton so
16 just (inaudible) I didn't want to be associated
17 with them so I threw them off by making up a name.

18 DEPUTY DISTRICT ATTORNEY CONTINI: Could the
19 Board inquire of the inmate when he and his crime
20 partners were arrested in the State of Washington,
21 he was arrested for possession of stolen property,
22 could the Board inquire of him whether or not he
23 was aware that was not only the murder weapon in
24 his life case but also a nine-millimeter
25 (inaudible) assault pistol that was in the car?

26 INMATE NGO: Both of the weapons don't
27 belong to me, they belonged to my crime partner.

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1 I'd never been to the State of Washington until I
2 drove there myself. I'd never been out of the
3 State of California, period, so --

4 PRESIDING COMMISSIONER WELCH: Did you
5 understand the question?

6 INMATE NGO: She had stated why the weapon
7 was in the car.

8 PRESIDING COMMISSIONER WELCH: She said were
9 you aware.

10 INMATE NGO: Of the gun, yes, I was, Sir.

11 PRESIDING COMMISSIONER WELCH: The other
12 weapon?

13 INMATE NGO: Yes.

14 PRESIDING COMMISSIONER WELCH: Okay.
15 District Attorney.

16 DEPUTY DISTRICT ATTORNEY CONTINI: Could the
17 Board inquire of the inmate, he drove his crime
18 partner and fellow gang members to the State of
19 Washington, correct?

20 INMATE NGO: Yes, that's correct.

21 DEPUTY DISTRICT ATTORNEY CONTINI: And could
22 the Board just finally inquire of the inmate, he
23 indicated he had the 11350 arrest for the drug, in
24 the probation report for this case, indicated that
25 he and some other individual had previously beaten
26 up Hispanic men at a school, is he familiar with
27 that case?

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1 INMATE NGO: I'm wondering (inaudible) that
2 was never brought up, I don't see what it has to
3 do with my case right now. (Inaudible) a fight,
4 everybody was getting in a fight. We were young
5 then.

6 PRESIDING COMMISSIONER WELCH: Okay.

7 INMATE NGO: That was, you know --

8 PRESIDING COMMISSIONER WELCH: Let's backup.
9 District Attorney, would you re-ask the question.

10 DEPUTY DISTRICT ATTORNEY CONTINI: Sure.
11 Would the Board inquire of the inmate, in his
12 probation report for this case, the victim by the
13 last name of Perez was interviewed regarding a
14 physical altercation at a school in which the
15 inmate began the physical altercation and then
16 three of the inmate's companions joined in kicking
17 and punching the victim Perez, alleged to have
18 happened December 14th, 1990. Is he familiar with
19 that assault?

20 INMATE NGO: Yes, I am familiar with it. I
21 was never convicted on anything. I was released.
22 What can I say?

23 PRESIDING COMMISSIONER WELCH: Well, you can
24 tell her the circumstances. I don't think any --

25 INMATE NGO: Well,

26 PRESIDING COMMISSIONER WELCH: --
27 (inaudible) what happened.

1 INMATE NGO: For that fight I was going to
2 pick up my girlfriend at the school at that time.
3 She was in the agricultural or floral rearranging
4 class. I went over there to pick her up and Mr.
5 Perez, I guess, at that -- you know, tell me, who
6 the hell are you, and then he started cussing me.
7 He don't even know who I am. (Inaudible) what is
8 he doing, you know, what is his business. So I
9 just left it at that and I left, and I was waiting
10 for my girlfriend at the parking lot and he came
11 out and started mad-dogging me.

12 PRESIDING COMMISSIONER WELCH: What does
13 mad-dogging mean?

14 INMATE NGO: It means staring me down and
15 then he asked me who the hell -- you know, F, what
16 are you looking at. I said, what's your problem?
17 So he came over (inaudible) confronted so I just
18 socked him, you know.

19 PRESIDING COMMISSIONER WELCH: You socked
20 him?

21 INMATE NGO: Yes, because he wanted to fight
22 me for some reason so I just was trying to defend
23 myself so I socked him first and that's it.

24 PRESIDING COMMISSIONER WELCH: Okay, all
25 right. District Attorney.

26 DEPUTY DISTRICT ATTORNEY CONTINI: Could the
27 Board just inquire of the inmate whether or not

1 his three companions that assaulted Mr. Perez on
2 that day were also Fullerton Boys gang members?

3 INMATE NGO: No.

4 PRESIDING COMMISSIONER WELCH: No?

5 INMATE NGO: No, they weren't.

6 DEPUTY DISTRICT ATTORNEY CONTINI: I don't
7 have anything further.

8 PRESIDING COMMISSIONER WELCH: Okay.

9 Counselor, do you have any questions for your
10 client?

11 ATTORNEY SPOWART: I have nothing.

12 PRESIDING COMMISSIONER WELCH: Okay, Mr.

13 Ngo, at this time we go -- at this portion of the
14 hearing we go to closing. We start off with the
15 District Attorney. District Attorney, closing.

16 DEPUTY DISTRICT ATTORNEY CONTINI: The
17 People of the State of California strongly oppose
18 any parole of Mr. Ngo at this time. This case was
19 a cold-blooded murderer of a 15-year-old. Yes,
20 rival gang member, but a 15-year-old when this
21 inmate was 19 years, two years out of high school.
22 He and his friends, after a mad-dogging incident,
23 lie in wait essentially waiting for this young man
24 to walk home from school. In the inmate's version
25 of events to the Board previously, he indicated
26 that he didn't know that a gun was in the car and
27 that at the same breath he indicates that they had

1 the gun for protection. Neither of those things
2 make any sense in the gang world, and when you are
3 waiting for an individual to assault them, it
4 seems ridiculous to say that you have the gun for
5 protection. Moreover, Mr. -- the victim, the 15-
6 year-old, in the case was walking with another
7 friend when he was first engaged by this inmate
8 and one other person which shows that this inmate
9 was a leader in this attack. There's five
10 individuals involved, he's one of the first ones
11 to go up and start beating the 15-year-old. I
12 just want to touch briefly on Mr. Rubright's
13 letter, the public defender, because he indicates
14 in that letter that -- just give me one moment, he
15 indicates in that letter that this was a wannabe
16 gang and I just have a strong -- strongly disagree
17 with that. This is a gang that had a loaded gun
18 that they used on this 15-year-old. It's also a
19 gang that when they're arrested after fleeing to
20 the State of Washington, has the nine-millimeter
21 stolen gun, both of the guns stolen, both the .22
22 and the nine-millimeter. In addition to that,
23 there's a level of sophistication involved in this
24 crime in that the suspects fled and they burn the
25 car that they were driving, and that's contained
26 in the record. They burn the car that they were
27 driving before taking off to Washington and this

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1 inmate is the one who drove them to Washington.
2 Finally, I would note that although this inmate's
3 criminal history, it was not extensive, he does
4 have the drug offense, and then two years before
5 the killing he has this incident where he and some
6 other fellows are beating up an individual, so
7 there is an escalation of violence in his
8 background there. When he first was housed at the
9 Orange County Jail, he had three incidents in the
10 Orange County Jail which were noted in his
11 probation report, the last was the mutual combat.
12 He's obviously done well in the last few years
13 here, but he does have a couple of -- I believe I
14 saw a couple of 128s in his record, so we're
15 talking 12 years since this incident occurred.
16 Based on the gang nature of it, basically a cold-
17 blooded execution of a 15-year-old boy, we would
18 strongly oppose a parole at this point.

19 **PRESIDING COMMISSIONER WELCH:** Counselor,
20 closing.

21 **ATTORNEY SPOWART:** If we look at Mr. Ngo's
22 entire history, he had no juvenile record. He
23 came from a very good family, an excellent letter
24 from his mother, talking about her other children,
25 their accomplishments. My client graduated from
26 high school. He was attending Fullerton Community
27 College and then Pasadena City College. He

1 completed 10 units. In other words, he had a
2 stable social history. He was in high school at
3 that time, various ethnic groups, Latinos, Asians,
4 and they would fight, and this happens. He did
5 get in a fight once when he tried to pick up his
6 girl. Now the DA says this shows an escalating
7 pattern. Motivation for the crime was basically a
8 gang fight. He was 19 then and he's 31 now.
9 Excellent parole plans which we've gone over, a
10 place to live, a place to work, good letters of
11 support. Now, this is exactly what I was talking
12 about when I objected. This is a murder second-
13 degree plea. The DA comes up here today says
14 cold-blooded lying in wait. If that was true then
15 the District Attorney's Office was grossly
16 inadequate in the prosecution of my client. Why
17 wasn't he up for life without parole or the death
18 penalty? Come on, give me a break, that is not
19 what happened. He went with his friends, this big
20 gang, five guys, that he went over with and they
21 went over because one of them had gotten in
22 (inaudible) and they went over to have a fight, it
23 was clear. As his trial attorney says, the fight
24 started and later on a guy comes up with a gun and
25 shoots the victim. It wasn't my client. He did
26 not have a gun. He's never been violent. If you
27 look at the Board report and he gives -- he says

1 he would pose a low degree of threat to the public
2 at this time. This opinion is based on Ngo being
3 immature at the time of the crime and easily
4 influenced by his peers. The crime was episodic
5 in nature. It wasn't planned, it wasn't cold-
6 blooded, it wasn't lying in wait, it was none of
7 those. It was a pure simple these five guys got
8 in a fight. My client was there, he didn't even
9 know they had the gun. He wasn't living in
10 Fullerton at the time, he was living in Pasadena,
11 going to college. His institutional adjustment
12 has been outstanding, two 128(a)'s in the entire
13 time he's been down. No 115s. Where is the
14 violence? Where's the violence? Where's the
15 escalating pattern? There isn't any. He
16 completed IMPACT Workshop program, and if you
17 notice they talk about the IMPACT program it
18 includes anger management, domestic violence, gang
19 violence, murder and sexual assault, it includes
20 all these things. So he's had good therapy there.
21 He's been AA or NA the entire time he's been down.
22 He completed the course of Sexual Transmitted
23 Disease, has excellent work reports, completed the
24 Salesmanship and the Key to Fatherhood, completed
25 the forklift certification. The Board report is
26 low. Now let's look at the psych report, and
27 let's remember, the psych report is the expert

1 here, and this is low too. The Commissioner read
2 it, based on his complete lack of disciplinary
3 actions while incarcerated, his insight into the
4 negative aspects of gang involvement, and his
5 remorse for his actions, he has expressed remorse,
6 and the psych takes that into consideration, his
7 violence potential within a controlled setting is
8 estimated to be below average relative to this
9 level inmate II population, which is low. If
10 released to the community, his violence potential
11 is estimated to be less than, not average, but
12 less than the average citizen in the community.
13 And he talks about, given his insight, his
14 demonstrated ability to stay out of trouble, his
15 successful development of plans upon release and
16 the support of his family -- and I know -- look
17 again at his plans, he's very detailed. This is a
18 serious young man. He's very serious about
19 wanting to get out. He has clearly worked to get
20 out. He admits what he did. You can't get away
21 from it, he was there, he participated. It was a
22 fight and then there was a gun there. He did not
23 do the shooting. He had no reason to believe that
24 this other guy would shoot anybody, it happened.
25 The point is, we're here now when he's 31 years
26 old not 19. He's been in Coastline Community
27 College, he's preparing himself to get out. He's

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1 paid for his crime. For his part in that crime,
2 he has paid for it. And he's stated, if you find
3 me suitable, give me the highest because I know I
4 shouldn't have been there and I shouldn't have
5 participated in this. Board report low, psych
6 report below average here, less than average. Do
7 you know what that means? Look at anybody walking
8 downtown Fullerton or Pasadena, they're the
9 average Joes. And he, the psych, the
10 professional, is saying my client is no more -- is
11 less dangerous than they are, less dangerous. So
12 how now does he become an unreasonable risk of
13 danger to society. I think not. You know, I'm
14 surprised really, I know it's difficult to get a
15 date on your first initial hearing, but I'm
16 surprised that this man didn't get a date on his
17 initial hearing. I think he should be found
18 suitable today and I'll submit it.

19 PRESIDING COMMISSIONER WELCH: Okay, Mr.
20 Ngo, you can make a closing statement or you can
21 let your attorney's statement be your closing
22 statement.

23 INMATE NGO: I'd like to make a statement.
24 During my last initial hearing, previous hearing,
25 one of the Commissioners told me that I needed
26 time to understand the nature and circumstances of
27 my crime and my disregard for human life. In my

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1 defense, I would say that it's part of telling the
2 truth because for the last 12 years I've had
3 nothing but time to think about my crime. It's
4 with me every day, it's a reminder every day. I
5 know nothing I can say or do can bring back Mr.
6 Angel Gonzalez. I know I caused a lot of harm to
7 his family, anyone involved, but there's nothing I
8 can say or do at this point to ever change the
9 fact. You know, I know what I did, or lack of on
10 my part is, I could have maybe have stopped this
11 from happening if I was smart enough to act upon
12 it because I was young and naïve. I know that's
13 not an excuse, but looking back now I see what I
14 could have done if I'd have known better. I took
15 full complete responsibility for my action. I
16 can't take responsibility for others, only for
17 myself. I know I'm partly responsible that's why
18 I know I deserve to do some time, but I have done
19 my time. I have done everything you have asked me
20 to do, I've gone beyond my scope of
21 (indiscernible) to better myself. All I ask is
22 that you give me a fair chance, a second chance.
23 I know I have to prove to you that I can be a law-
24 abiding citizen, but if you should not find me
25 suitable at this time, I'd ask you, urge you, to
26 please specify what exactly I need to do in order
27 to be found suitable at my future hearings.

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1 That's all I ask of you. Thank you.

2 PRESIDING COMMISSIONER WELCH: Okay, thank
3 you very much for our comments. We'll take a
4 recess.

5 R E C E S S

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CALIFORNIA BOARD OF PRISON TERMS

D E C I S I O N

DEPUTY COMMISSIONER MEJIA: Okay, we're back on record for our decision.

PRESIDING COMMISSIONER WELCH: Okay, Mr. Ngo, we have a decision. The Panel reviewed all the information received from the public and relied on the following circumstances in concluding -- could you close the door, please, -- in concluding that the prisoner is not suitable for parole and would pose an unreasonable risk of danger to society or a threat to public safety. One, this crime was carried out in an especially cruel and callous manner. Gang related violence in a community is always cruel and callous violence. Actually there was multiple victims attacked, one was killed, one ran away and was able to escape. The offense was carried out in a dispassionate, it was a calculated manner. The victim was abused, he was beaten and then he was shot. The offense was carried out in a manner that demonstrates an exceptionally callous disregard for another human being. The motive for the crime was inexplicable or very trivial in relationship to the offense. Any gang-related activity in our community is (inaudible) and

SIEU NGO J-07024 DECISION PAGE 1 8/3/04

1 inexplicable type of behavior, it's the type of
2 behavior that certainly cannot be condoned in any
3 well-ordered society. The conclusion was drawn
4 from the Statement of Facts wherein on 9-18-92
5 Angel Gonzalez who was beaten and shot to death
6 near Fullerton High School as he was walking away
7 -- as he was walking home. The circumstances
8 surround this crime, apparently the prisoner and
9 some of his gang members had been at a McDonald's.
10 There was a stare down contest and apparently some
11 words was exchanged. The prisoner and his crime
12 partners waited for the victim as he was walking
13 home and a fight ensued and he was shot and killed
14 with a .22 caliber handgun. Mr. Angel Gonzalez,
15 aged 15, lost his life over a very, very trivial
16 matter, over a matter that really cheapens human
17 life, the gang related -- the gang mentality that
18 occurs in our cities. The prisoner really did not
19 have an escalating pattern of criminal conduct.
20 He did have one contact with law enforcement
21 agency. He has -- well, two contacts with law
22 enforcement agencies, one was for a controlled
23 substance and one was for stolen property
24 including the instant offense, so he did not have
25 a major record of criminal history or an
26 escalating pattern of violent type of behavior.

1 Under unstable social history, other than the
2 prisoner's gang activity or involvement in gang
3 activity to whatever extent it was. The Board
4 have no reason to disbelieve the public defender
5 who detailed from his perspective what had
6 occurred, so it does not appear that the prisoner
7 was heavily into gang activity, criminal type of
8 behavior. Also under unstable -- it appears that
9 the prisoner did have a stable home environment,
10 the letters from the family are very impressive in
11 terms of the type of support he have in the
12 community. The prisoner has programmed in an
13 acceptable manner. Recent psychological report
14 shows that the prisoner is making progress, shows
15 that his level of dangerousness both in a
16 structured environment and non-structured
17 environment is reduced. He's on the right track
18 and he is making progress. Certainly from a
19 psychological perspective we feel that the
20 prisoner is making progress. Dr. Zika is the one
21 that prepared this report. However, we are going
22 to request a new psychological evaluation for the
23 prisoner's next hearing. Under parole plans,
24 certainly the prisoner have parole plans, lots of
25 support in the community, job offers, residential
26 plan. The Hearing Panel notes that in response to
27 SIEU NGO J-07024 DECISION PAGE 3 8/3/04

1 Penal Code 3042 Notices, the Deputy District
2 Attorney from Orange County spoke in opposition.
3 The Panel makes the following findings: The Panel
4 finds that the prisoner needs to continue to
5 participate in the kinds of programs that he's
6 participating in, to continue to make progress,
7 continue to participate to the extent that he will
8 be able to face, understand, and cope with
9 stressful situations in a nondestructive manner.
10 Until the Board feels that enough progress is
11 made, the prisoner continues to be unpredictable
12 thereby presents some amount of threat. However,
13 there are some things that we certainly want to
14 commend him for. The prisoner's disciplinary
15 behavior certainly is not -- is something that we
16 certainly want to give him some accolades for and
17 encourage him to continue in his self-help
18 programs that he's involved in, his educational
19 programs that he's involved in, all of those kinds
20 of things we think is very significant and we want
21 to continue to -- him to continue in that way.
22 However, those positive aspects at this time does
23 not outweigh the factors of unsuitability. Now
24 your parole is going to be denied for one-year
25 this time and we encourage you to continue to
26 remain disciplinary free, continue to explore your
27 SIEU NGO J-07024 DECISION PAGE 4 8/3/04

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1 culpability in the crime, continue to participate
2 in self-help programs and other type of positive
3 kinds of programs, educational programs, as they
4 maybe available to you. There again we want the
5 clinicians to look at your violence potential in
6 the free community when they complete the
7 psychological evaluation, whether there's a
8 significant problem with alcohol and drugs as it
9 relates to the commitment offense into which you
10 have -- and I think this is one area that we
11 certainly want them to take a look at, the extent
12 to which you have explored your commitment offense
13 and come to terms with the underlying causative
14 factors. That concludes the reading of the
15 decision. And personally I think you're making
16 progress, and you asked specifically what it is
17 that you need to do. You need to continue to do
18 the things that you're doing, you need to continue
19 to participate in self-help programs, continue to
20 participate in educational programs, continue to
21 explore the crime that you were committed for and
22 develop greater insight into it. And I think
23 you're on the right track. I think you'll get a
24 parole date in the not too distant future.
25 Commissioner, comments?

26 DEPUTY COMMISSIONER MEJIA: Good luck to
27 SIEU NGO J-07024 DECISION PAGE 5 8/3/04

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1 you.

2 INMATE NGO: Thank you.

3 DEPUTY COMMISSIONER MEJIA: Keep up the good
4 work.

5 PRESIDING COMMISSIONER WELCH: Good luck to
6 you, Mr. Ngo. That concludes the hearing at
7 approximately 1500 hours.

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23 PAROLE DENIED ONE YEAR

24 THIS DECISION WILL BE FINAL ON: DEC -1 2004

25 YOU WILL BE PROMPTLY NOTIFIED IF, PRIOR TO THAT
26 DATE, THE DECISION IS MODIFIED.

27 SIEU NGO J-07024 DECISION PAGE 6 8/3/04

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CERTIFICATE AND
DECLARATION OF TRANSCRIBER

I, WENDY THOMAS, a duly designated transcriber, CAPITOL ELECTRONIC REPORTING, do hereby declare and certify under penalty of perjury that I have transcribed tape(s) which total one in number and cover a total of pages numbered 1 through 63, and which recording was duly recorded at CORRECTIONAL TRAINING FACILITY at SOLEDAD, CALIFORNIA in the matter of the SUBSEQUENT PAROLE CONSIDERATION HEARING of SIEU NGO, CDC No. J-07024, on AUGUST 3, 2004 and that the foregoing pages constitute a true, complete, and accurate transcription of the aforementioned tape(s) to the best of my ability.

I hereby certify that I am a disinterested party in the above-captioned matter and have no interest in the outcome of the hearing.

Dated August 19, 2004 at Sacramento County, California.

Wendy Thomas
Wendy Thomas
Transcriber
CAPITOL ELECTRONIC REPORTING

EXHIBIT D

June 23, 2005

Board of Prison Terms
CTF Soledad
P.O. Box 686
Soledad, CA 93960

Re: Sieu Phong Ngo, CDC # J07024
Parole Hearing

Dear Sirs:

I represented Mr. Ngo in the case which sent him to prison. I have been a criminal defense attorney for almost thirty years and have represented over 40 persons accused of homicide. I do not see my clients through rose colored glasses. However, the circumstances of Sieu's case are unusual enough that I feel compelled to make a statement on his behalf.

At the time I represented him, Sieu was a very likeable young man with a minor criminal record. To my recollection he had no convictions for any crimes of violence. The incident in question was very different from the typical "gang case" and the facts are worth sketching for your review.

Sieu and his friends were a "wanna be" type gang who did not really have a significant history or established turf in Orange County. On the day of the incident some of Sieu's friends, by chance, went to the McDonald's which was near Fullerton High School in northern Orange County. Sieu was not present at the time.

One of Sieu's friends got in a staring match with the decedent and some of his friends, who were members of "Tokertown," a long established Hispanic gang in Fullerton.

Essentially, the "Tokertown" group told Sieu's friends that they were not welcome in Fullerton (where some of them already lived) and they should get out of town.

Angered by this, Sieu's friends decided to confront the decedent's group after school got out that day. Sieu was called to help out in case they should be outnumbered. Their group waited after school and confronted the decedent and one of his friends about two blocks south of Fullerton High School (**not on school grounds**).

From all appearances this was intended to be a fist fight. Sieu, and the friend who'd been in the stare-down, approached the decedent and another young man who were walking on a sidewalk. A fist fight is how it started. However, the decedent's friend fled just after the punching began and that left Sieu and his friend fighting the decedent who was significantly larger than either of them. Of course, this was unfair, but nothing at this point suggested that this was intended to be a homicide.

While the fist fight was ongoing a third member of the group Sieu was part of ran forward to the scene. While the fight was in still in progress he reached around Sieu and shot the decedent, killing him and narrowly missing Sieu. Sieu and his group then fled, ultimately being arrested out of state.

Evidence was received to show that Sieu and his friends knew that a gun was in the car. However there was no evidence to show that there was a plan to use it. Based upon the theory of foreseeable consequences, Sieu and several co-defendant's were convicted or pled guilty to murder. **Sieu was not the shooter and no evidence existed to show that he suggested, encouraged or aided or abetted the shooting in any way.**

After the shooting Sieu angrily confronted the shooter, demanding to know "why" he brought out the gun and asserting that he (Sieu) didn't know the gun was going to be used.

In summary, this was not a "drive by" or similar gang crime where everyone knew or legitimately should have known that death or serious bodily injury was intended.

On the contrary, this appeared to be an impulsive act by one member of the group which, due to the rest of the circumstances, swept them all up by way of derivative liability.

I am not suggesting that Sieu and the other non-shooters bear no responsibility for the tragic outcome. But for the fight, of course, no shooting would have taken place. However, I would submit that the circumstances here are significantly mitigated when considered against other convictions of this type.

Assuming that Sieu's performance within the department of corrections has been positive, I would urge his parole at the earliest possible time.

Sincerely,

Donald G. Rubright
Senior Deputy Public Defender
Orange County, Ca
(949) 249-5060

EXHIBIT E

MENTAL HEALTH EVALUATION FOR THE BOARD OF PRISON TERMS
(REVISED AUGUST 1998)
PAROLE CONSIDERATION HEARING
APRIL 2002 LIFER CALENDAR

CORRECTIONAL TRAINING FACILITY, SOLEDAD
JANUARY 23, 2002

This is the second mental health evaluation for the Board of Prison Terms on inmate Sieu Phong Ngo, CDC# J-07024. This report is the product of a personal clinical interview of the inmate, conducted on 01/23/02, as well as a review of his Central file and medical record. This clinical interview and a review of all pertinent documents were for the express purpose of preparing this report. Prior to today's interview, I had no previous contact with this inmate.

PSYCHOSOCIAL ASSESSMENT

I. IDENTIFYING INFORMATION:

Inmate Ngo is a 28-year-old, single, Vietnamese male who was born on 05/18/73. He was born in Vietnam and moved to the United States in 1979 with his family, where they settled in Los Angeles. He has multiple tattoos on his arms, as well as scars from a suicide attempt when he was 16. He denies the use of any nicknames or aliases.

II. DEVELOPMENTAL HISTORY:

Inmate Ngo was born in Vietnam. His family moved to the United States in 1979. They settled in Los Angeles, where they remain to this day. The inmate has one older brother, two older sisters, one younger brother, and one younger sister. They were raised by both parents.

His father died in 1996 while the inmate was incarcerated, but the inmate reports that he is still in contact with his siblings and his mother.

He denies any history of birth defects or abnormalities of developmental milestones, a history of cruelty to

NGO, SIEU PHONG
CDC NUMBER: J-07024
BPT MENTAL HEALTH EVALUATION
PAGE TWO

animals, a history of arson, any significant childhood medical history, or a childhood history of physical or sexual abuse as either a perpetrator or a victim.

III. EDUCATIONAL HISTORY:

Inmate Ngo graduated from high school and attended one year of college, studying general education, prior to his incarceration. In high school, he relates that he was in English as a Second Language classes for some time. Chinese is his primary language, although he speaks very fluent English at this time. He reported that he had some reading problems, probably related to English being his second language at the time.

Since his incarceration, he has been studying vocational, self-paced, business courses. He intends to finish college.

IV. FAMILY HISTORY:

Inmate Ngo speaks of his family in largely positive terms. He states that he is the only one in his family who has a criminal record. There is no family history of mental illness or criminality, other than his. He also denies a family history of alcohol or drug abuse.

V. PSYCHOSEXUAL DEVELOPMENT AND SEXUAL ORIENTATION:

Inmate Ngo states that he is a heterosexual male. He denies any history of high-risk sexual behavior or sexual aggression.

VI. MARITAL HISTORY:

Inmate Ngo has never been married and has no children. He does have a female friend whom he corresponds with, but who does not visit. He did have a girlfriend prior to his incarceration. He reports normal sexual relations.

VII. MILITARY HISTORY:

The inmate denies any history of military service.

NGO, SIEU PHONG
CDC NUMBER: J-07024
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PAGE THREE

VIII. EMPLOYMENT/INCOME HISTORY:

Inmate Ngo was quite young at the time of his commitment offense. However, he had worked at odd jobs in the fast food industry.

Since his incarceration, he has been a clerk. The works chronos in his Central file show that he has been a satisfactory worker in job attendance, quality and quantity. He has learned a couple of job skills, both in cooking and in upholstery, which he intends to continue if granted parole.

IX. SUBSTANCE ABUSE HISTORY:

Inmate Ngo readily acknowledges having tried cocaine once. He reports that the first time he tried it, he was caught and ordered to attend a diversion class for drug addiction. Previous mental health evaluations for the Board of Prison Terms have recommended that he be involved in Alcoholics Anonymous and Narcotics Anonymous. However, the inmate feels that he never really had a drug or alcohol problem, although he does currently attend Alcoholics Anonymous.

X. PSYCHIATRIC AND MEDICAL HISTORY:

Inmate Ngo reports one incident of psychiatric illness, in which he states that when he was 16 years old, he tried to kill himself by cutting his arms. He reports that his family life and personal problems felt overwhelming at the time. He states that he has not suffered from depression or had any suicidal thoughts since that time. He has had no contact with the mental health system since that time, either outside or inside of prison.

He denies any significant medical history, other than mentioned above. He denies a history of serious accidents or head injuries, a history of suicidal behavior, or a history of seizures or other neurological conditions. He is taking no medications.

NGO, SIEU PHONG
 CDC NUMBER: J-07024
 BPT MENTAL HEALTH EVALUATION
 PAGE FOUR

XI. PLANS IF GRANTED RELEASE:

Inmate Ngo states that if he is paroled, he would be fully supported by his family, and would use the money he has earned as a clerk to start his own business, either in the upholstery or restaurant business. He does acknowledge that he is determined not to have any gang affiliation. He shows a good deal of insight into the negative aspects of gang involvement, which he regrets to this day.

CLINICAL ASSESSMENT

XII. CURRENT MENTAL STATUS/TREATMENT NEEDS:

Inmate Ngo appears to be his stated age of 28. He was appropriately dressed and groomed. He was calm, cooperative, coherent and alert during the entire interview. He was open in his conversation and emphasized throughout the interview his recognition that gang affiliation had only resulted in injury to himself and to those around him. His mood was sober, but his range of affect was good. His speech, flow of thought and affect all appeared to be within the normal range. His intellectual functioning was estimated to be within the average range. There was no evidence of a mood or thought disorder. His judgment appeared to be sound. He showed significant insight into his commitment offense, as well as some recognition that there is no guarantee he will be paroled at this time by the Board of Prison Terms.

CURRENT DIAGNOSTIC IMPRESSIONS (DSM-IV):

AXIS I: No Contributory Clinical Disorder.
 AXIS II: Deferred.
 AXIS III: No Contributory Physical Disorder.
 AXIS IV: Long-term incarceration.
 AXIS V: Global Assessment of Functioning (GAF) = 90.

There is no evidence that inmate Ngo currently suffers from any psychiatric illness, although he does recognize that in his early youth he was vulnerable to

NGO, SIEU PHONG
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PAGE FIVE

depression and was tempted by consciousness-altering substances. In consideration of the fact that he has received no CDC-115 violations during his entire incarceration, it would appear that he has, at least in this controlled setting, been able to manage his behavior and remain incident-free.

XIII. REVIEW OF LIFE CRIME:

According to inmate Ngo, his offense for which he was convicted and sentenced to 16 years to life was P.C. 187-A, Second Degree Murder, with enhancement P.C. 12022, vicariously armed with a gun.

The inmate stated that he agreed with the version of the crime given in his Central file, the verdict and the sentencing. However, he did state that no one intended to kill the victim, and that their intent was only to beat him up. He had past prior offenses, which included a two-year drug diversion, as referred to earlier in this report. He has had one previous BPT evaluation.

XIV. ASSESSMENT OF DANGEROUSNESS:

- A. Inmate Ngo's report agrees in all details with the information given in the Central file and medical record with respect to his prior history as a person who attempted to use cocaine and was affiliated with gangs, which resulted in his current offense. Based upon his complete lack of disciplinary actions while incarcerated, his insight into the negative aspects of gang involvement, and his remorse for his actions, his violence potential within a controlled setting is estimated to be below average relative to this Level II inmate population.
- B. If released to the community, his violence potential is estimated to be less than the average citizen in the community, given his insight, his demonstrated ability to stay out of trouble, his successful development of plans upon release, and the support of his family.

NGO, SIEU PHONG
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PAGE SIX

- C. Clearly, the most significant risk factor for this inmate as a precursor to violence or a return to criminal behavior would be his reinvolvement with others having a criminal history and/or gang members, the abuse of alcohol and/or drugs, and isolation from his family members.

XV. CLINICIAN OBSERVATIONS/COMMENTS/RECOMMENDATIONS:

- A. This inmate is competent and responsible for his behavior. He has the capacity to abide by institutional standards and has done so during his entire incarceration period.
- B. This inmate does not have a mental health disorder which would necessitate treatment either during his incarceration period or following parole.
- C. As this man has spent ten years in prison, I would recommend, should he be paroled:
- 1) Abstinence from all alcohol and/or use of any controlled substance.
 - 2) Frequent monitoring for substance abuse.
 - 3) If at all possible, he should be relocated so he is near his family.
 - 4) He should make frequent reports to his parole officer concerning his vocational progress and goals. The structure of the institution has, inevitably, to some extent, served to diminish his own self-reliance, and the process of reporting in and setting validating goals might be helpful in assisting him in establishing, perhaps for the first time in his life, a real sense of self-reliance and a positive prosocial sentence.
 - 5) Due to his family's commitment to supporting him upon his release, his projected level of

NGO, SIEU PHONG
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PAGE SEVEN

success in the community, if granted a date for
parole, is seen at this time to be better than
average.

C. SAINDON, Ph.D.
Staff Psychologist
CORRECTIONAL TRAINING FACILITY, SOLEDAD



BILL ZIKA, Ph.D.
Senior Supervising Staff Psychologist
CORRECTIONAL TRAINING FACILITY, SOLEDAD



CS/gmj

D: 01/23/02
T: 01/31/02

EXHIBIT F

Leguzman
CFBW 3194

LIFE PRISONER EVALUATION REPORT
SUBSEQUENT PAROLE CONSIDERATION HEARING
AUGUST 2005 CALENDAR

NGO, SIEU

J-07024

INMATE COPY

I. COMMITMENT FACTORS:

A. Life Crime: PC 187, Murder 2nd with PC 12022(A)(1), Use of Weapon in commission of a felony. Orange County Superior Court Case #C99109. Term: 15 years to Life plus 1 year enhancement. MEPD: 5/24/03. Victim: Angel Ganzales, 15 years old. Inmate Ngo was received in the California Department of Corrections on 2/1/94.

1. Summary of Crime: All relevant documents from the previous hearing including the transcripts have been considered and that information appears valid. The writer has no further information to add.

2. Prisoner's Version: Remains the same as stated in the previous hearing.

3. Aggravating/Mitigating Circumstances:

a. Aggravating Factors: Remains the same as stated in the previous hearing.

b. Mitigating Factors: Remains the same as stated in the previous hearing.

B. Multiple Crime(s): N/A.

1. Summary of Crime: N/A.

2. Prisoner's Version: N/A.

II. PRECONVICTION FACTORS:

A. Juvenile Record: Documents from the previous hearing have been considered and that information remains valid.

B. Adult Convictions and Arrests: Documents from the previous hearing have been considered and that information remains valid.

COPY TO INMATE ON
June 22, 2005

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LIFE PRISONER EVALUATION REPORT
 PAROLE CONSIDERATION HEARING
 AUGUST 2005 CALENDAR

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- C. Personal Factors: Documents from the previous hearing have been considered and that information remains valid.

III. POSTCONVICTION FACTORS:

- A. Special Programming/Accommodations: None.
- B. Custody History: Documents from the previous hearing(s) have been considered and that information remains valid. Since his last Board of Prison Terms (BPT) hearing on 8/5/04, in which his parole was denied for one (1), inmate Ngo has remained at the Correctional Training Facility (CTF), in the General Population (GP). He has retained his custody at Medium A with a Preliminary/behavior classification score of 0 and a Mandatory Minimum Placement score of 19 (the change is due to the revised classification scoring system effective 10/15/02).
- C. Therapy and Self-Help Activities: Refer to the Postconviction Progress Report for details.
- D. Disciplinary History: Refer to the Disciplinary Sheet for details.
- E. Other:

IV. FUTURE PLANS:

- A. Residence: Inmate Ngo plans to live with his mother, Huynh Phong Ngo at 709 Triana Street, Monterey Park, California, 91754 with telephone number 626-282-3156. In addition, most, if not all of his relatives has offered housing, employment, financial, moral and social assistance to inmate Ngo, if and when he is released to parole.
- B. Employment: Inmate Ngo is in receipt of two (2) Certificates of Completion, Vocational Automotive Refinishing (9/12/97) and Upholstery (2/27/97), both employable trades. Inmate Ngo has been a Culinary Storekeeper Office Aide over two (2) years, another employable trade. His relatives are offering immediate employment opportunities in their liquor and restaurant business, if and when he is released to parole.
- C. Assessment: Inmate Ngo does not have any prior record of criminal conduct (considering the recency and frequency of prior crimes) and the circumstances of the instant offense, ~~he~~ does not appear to be criminally minded and has a good insight into himself. He has been able to maintain himself relatively disciplinary free (of serious rules violation report) since 2/12/00. In 9/12/97 and 2/27/97, he

LIFE PRISONER EVALUATION REPORT
PAROLE CONSIDERATION HEARING
AUGUST 2005 CALENDAR

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acquired two (2) Certificates of Completion, Vocational Automotive Refinishing and Upholstery, respectively. In addition, he is in the process of acquiring college credits via correspondence from Coastline Community College with the hope that said credits will be transferable to a university and eventually obtain a degree in Biology. Finally, he has achievable and realistic parole plans.

V. USINS STATUS: Inmate Ngo was born on 5/18/73 in Vietnam, immigrated to the United States of America (USA) and later became a naturalized citizen of the USA.

VI. SUMMARY:

A. Prior to release the prisoner could benefit from:

- (1) Participate in self-help programs;
- (2) Remain disciplinary free;
- (3) Earn positive chronos;

B. This report is based upon 3 hours of Central File research, an interview with inmate Ngo and incidental contact with the prisoner during this period of review.

C. Inmate Ngo reviewed his Central File pursuant to in re: Olson on 5/31/05.

D. No accommodation was required per the Armstrong vs. Davis BPT Parole Proceedings Remedial Plan (ARP) for effective communication.

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BOARD OF PRISON TERMS

STATE OF CALIFORNIA

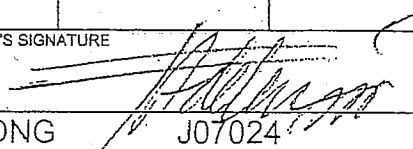
FREE PRISONER: POSTCONVICTION PROGRESS REPORT

- ☐ DOCUMENTATION HEARING
- ☒ PAROLE CONSIDERATION HEARING
- ☐ PROGRESS HEARING

INSTRUCTIONS

TO CDC STAFF: DOCUMENT EACH 12-MONTH PERIOD FROM THE DATE THE LIFE TERM STARTS TO PRESENT

TO BPT STAFF: FOR EACH 12-MONTH INCREMENT APPLY THE GUIDELINES UNDER WHICH THE PAROLE DATE WAS ORIGINALLY ESTABLISHED, ie., 0-2 MONTHS FOR PBR AND 0-4 MONTHS FOR BPT. SEE BPT §§2290 - 2292, 2410 AND 2439.

POSTCONVICTION CREDIT			REASONS
YEAR	BPT	PBR	
4/24/04 to 6/8/05 (Present)			<p>PLACEMENT: Remains housed at CTF, in the GP.</p> <p>CUSTODY: Remains at Medium A.</p> <p>ACADEMIC: Graduated from Fullerton High School, Orange County in 1992. Additionally, inmate Ngo has been and is currently enrolled in an Independent Study Program through Coastline Community College (semester ending May, 2005).</p> <p>WORK: Remains assigned as a Culinary Storekeeper Office Aide receiving satisfactory grades per the Work Supervisor's Report (CDC101).</p> <p>VOCATION: Inmate Ngo is in receipt of two (2) Certificates of Completion, Vocational Automotive Refinishing (9/12/97) and Upholstery (2/27/97). Additionally, he is in the Vocational Data Processing waiting list.</p> <p>GROUP ACTIVITIES: None noted this period.</p> <p>PSYCH TREATMENT: None noted this period.</p> <p>PRISON BEHAVIOR: Disciplinary free of serious rules violation reports since 2/12/00.</p> <p>OTHER: Inmate Ngo continues to better himself year after year.</p>
REGIONAL COUNSELOR'S SIGNATURE			DATE
F. I. DeGUZMAN 			6/8/05

NGO, SIEU PHONG

J07024

CTF

AUG/2005

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DISCIPLINARY SHEET

CDC 128A'S:

02/11/00	CTF	Window covering
04/01/97	LAC	Failed to respond to ducat

CDC 115's:

None

EXHIBIT G

LIFE PRISONER EVALUATION REPORT
SUBSEQUENT PAROLE CONSIDERATION HEARING
MAY 2004 CALENDAR

NGO, SIEU PHONG

J-07024

I. COMMITMENT FACTORS:

A. Life Crime: Murder second, PC 187, with use of a firearm PC 12022(A) (1). San Francisco County case #c99109, sentence: 15 years to life plus one year enhancement. MEPD: 5-24-03. Victim: Angel Gonzalez, age 15 years old. Received by CDC on 2-1-94.

1. Summary of Crime: All relevant documents from the previous hearings including the transcripts have been considered and that information appears valid and the writer has no further information to add.

2. Prisoner's Version: Remains the same as stated in the previous hearing.

3. Aggravating/Mitigating Circumstances:

a. Aggravating Factors: Remains the same as stated in the previous hearing.

b. Mitigating Factors: Remains the same as stated in the previous hearing.

B. Multiple Crime(s): None.

1. Summary of Crime: None.

2. Prisoner's Version: None.

II. PRECONVICTION FACTORS:

A. Juvenile Record: Remains the same.

B. Adult Convictions and Arrests: Remains the same.

C. Personal Factors: Remains the same.

LIFE PRISONER EVALUATION REPORT
SUBSEQUENT PAROLE CONSIDERATION HEARING
MAY 2004 CALENDAR

III. POSTCONVICTION FACTORS:

- A. Special Programming /Accommodations: None.
- B. Custody History: Documents from the previous hearing have been considered and that information remains valid. During the period of time since the last hearing (5-13-02), Ngo's behavior has been positive, in that he has remained disciplinary free and maintained a stable work program in his assignment as a culinary warehouse worker.
- C. Therapy and Self-Help Activities: Ngo is involved in an Independent Study Program through Coastline Community College. He participates in the Narcotics Anonymous Program. He completed the 13 week IMPACT workshop.
- D. Disciplinary History: Ngo received only two CDC 128A's. See Disciplinary Sheet for details.
- E. Other: An Initial Parole Consideration Hearing was held on 5-13-02. The BPT recommended:
1. Remain disciplinary free.
 2. Upgrade education.
 3. Continue to participate in self-help and therapy.
- The BPT denied parole for an additional two years.

IV. FUTURE PLANS:

- A. Residence: Ngo plans to reside with his mother, Phuong Hungk Ngo, at 709 Triana Street, Monterey Park, California, (714)827-7832.
- B. Employment: Ngo plans to work at the family liquor store which is owned by his sister, Julie Seeto, in Anaheim. His uncle, Calvin Ung owns a restaurant in Anaheim and has offered Ngo a job as a cook.
- C. Assessment: Ngo's chances of a successful parole are very good. He has concrete parole plans and letters of support from his family.

V. USINS STATUS: No holds.

VI. SUMMARY:

LIFE PRISONER EVALUATION REPORT
SUBSEQUENT PAROLE CONSIDERATION HEARING
MAY 2004 CALENDAR

3

- A. Considering the commitment offense, minimal prior arrest record and good prison adjustment, the writer believes Ngo would probably pose a low degree of threat to the public at this time, if release from prison. This opinion is based on Ngo being immature at the time of the crime and easily influenced by his peers. The crime was episodic in nature. Ngo has a limited criminal history and has not shown a pattern of violence in custody.
- B. Prior to release, Ngo could benefit from remaining disciplinary free and upgrading his education.
- C. This board report is based upon a one hour interview, incidental contact in the housing unit and a through review of the Central File lasting one hour.
- D. Ngo declined the opportunity to review the Central File on 1-20-04 (see CDC 128B Chrono).
- E. No accommodation was required per the Armstrong vs. Davis BPT Parole Proceeding Remedial Plan for effective communication.

DISCIPLINARY SHEET

CDC 128A's:

2-11-00	CTF	Window covering.
4-1-97	LAC	Failed to respond to medical ducat.

CDC 115's:

None.

LIFE PRISONER EVALUATION REPORT
SUBSEQUENT PAROLE CONSIDERATION HEARING
MAY 2004 CALENDAR

4

M. Rubio 4-23-04
M. Rubio Date
Correctional Counselor I

L.R. Baker, CCI (A) 4-23-04
L.R. Baker Date
Correctional Counselor II

J.L. Clancy 4-27-04
J.L. Clancy Date
Facility Captain

4) D. S. Leorse 4-28-04
D. S. Leorse Date
Classification and Parole Representative

STATE OF CALIFORNIA

BOARD OF PRISON TERMS

LIFE PRISONER: POSTCONVICTION PROGRESS REPORT

- ☐ DOCUMENTATION HEARING
- ☒ PAROLE CONSIDERATION HEARING
- ☐ PROGRESS HEARING

INSTRUCTIONS

TO CDC STAFF: DOCUMENT EACH 12-MONTH PERIOD FROM THE DATE THE LIFE TERM STARTS TO PRESENT
TO BPT STAFF: FOR EACH 12-MONTH INCREMENT APPLY THE GUIDELINES UNDER WHICH THE PAROLE DATE WAS ORIGINALLY
ESTABLISHED, ie., 0-2 MONTHS FOR PBR AND 0-4 MONTHS FOR BPT. SEE BPT §§2290 - 2292, 2410 AND 2439.

POSTCONVICTION CREDIT			REASONS
YEAR	BPT	PBR	
5/02 TO 4/2003			<p>PLACEMENT: CTF.</p> <p>CUSTODY: MED A.</p> <p>VOC. TRAINING: None.</p> <p>ACADEMICS: Enrolled in an Independent Study Program.</p> <p>WORK RECORD: Assigned to the culinary warehouse.</p> <p>GROUP ACTIVITIES: Completed the IMPACT workshop attended Narcotics Anonymous meetings.</p> <p>PSYCH. TREATMENT: None.</p> <p>PRISON BEHAVIOR: No disciplinaries.</p> <p>OTHER: None.</p>
CORRECTIONAL COUNSELOR'S SIGNATURE			DATE
<i>M. Reulio CCF</i>			4-23-04

Ngo, Sieu J-07024 CTF-SOLEDAD May/2004

BOARD OF PRISON TERMS

STATE OF CALIFORNIA

CONTINUATION SHEET: LIFE PRISONER: POSTCONVICTION PROGRESS REPORT

POSTCONVICTION CREDIT			REASONS
YEAR	BPT	PBR	
5/2003 To Present			PLACEMENT: CTF. CUSTODY: MED A. VOC. TRAINING: None. ACADEMICS: Enrolled in an Independent Study Program. WORK RECORD: Assigned to the culinary warehouse. GROUP ACTIVITIES: Participated in Narcotics Anonymous meetings. PSYCH. TREATMENT: None. PRISON BEHAVIOR: No disciplinaries. OTHER: None.

ORDER:

☐
☐

BPT date advanced by _____ months.
 PBR date advanced by _____ months.

☐
☐

BPT date affirmed without change.
 PBR date affirmed without change.

SPECIAL CONDITIONS OF PAROLE:

☐
☐

Previously imposed conditions affirmed.
 Add or modify _____

☐

Schedule for Progress Hearing on appropriate institutional calendar

Ingo, Sieu

J-07024

CTF-SOLEDAD

May/2004

BOARD OF PRISON TERMS

STATE OF CALIFORNIA

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EXHIBIT H

THE PRISONER EVALUATION REPORT
INITIAL PAROLE CONSIDERATION HEARING
APRIL 2002 CALENDAR

NGO, SIEU PHONG

J07024

I. COMMITMENT FACTORS:

- A. Life Crime: Murder Second, PC 187, with Use of a Firearm, PC 12022(A)(1). San Francisco County Case #C99109. Sentence: 15 years to Life plus one year enhancement. MEPS: 5/24/03. Victim: Angel Gonzalez, age 15 years old. Received by CDC on 2/1/94.

1. Summary of Crime: On 9/18/92, Angel Gonzalez was beaten and shot to death near Fullerton High School as he was walking home after school. An investigation revealed that earlier in the day the victim, a member of the "Fullerton Tokers Town" a Latin gang and member of "Fullerton Boyz" an Asian gang, were at a McDonald's restaurant, near the high school. The victim and No Muhamed had a confrontation with each claiming their respective gang affiliations. After this non-physical altercation, the group of Asians which at the time included Sieu Phong Ngo, obtained a firearm. Ngo and the Asian gang members returned to the school where they waited for Gonzalez. As he walked home he was attacked and beaten. During the physical altercation the victim was shot one time in the back by Usumang Muhamed. The group of five Asian gang members including Ngo fled the area after the shooting. Angel Gonzalez died at the scene as a result of the gunshot wound. Ngo, Jimmy Dao and Asat Cham fled to the state of Washington. They were subsequently apprehended there, and the murder weapon, a stolen .22 caliber handgun was recovered in the vehicle. Information obtained from POR pages 3 & 4.

2. Prisoner's Version: Ngo explained that earlier in the day his group had a confrontation with the victim. Subsequently, he and his group went to an arcade to play games. At that time his companions took possession of a weapon. Ngo stated that he did not see the gun until he was in the vehicle, it was located under the passenger's seat. He and his companions returned to Fullerton High School where they parked and went to look for Gonzalez. Ngo explained that they had gun for protection in case someone else happened to have a weapon. They found Gonzalez, and he and his group started fighting with the victim. During the fight Ngo heard two shots. Ngo explained that no one intended to kill the victim. They just planned to beat him up because Gonzalez had told them to "get out of town," and this made Muhamed angry. Ngo realizes that his behavior was a mistake. Ngo drove his two companions to Washington because

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LIFE PRISONER EVALUATION REPORT
INITIAL PAROLE CONSIDERATION HEARING
APRIL 2002 CALENDAR

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Muhammed told him that he knew someone who would give them shelter.
Ngo feels sorry for the victim's family.

B. Aggravating/Mitigating Circumstances:

1. Aggravating Factors:

- a. The victim was particularly vulnerable in that he was outnumbered.
- b. The crime was racially motivated.
- c. The inmate had an opportunity to cease but continued with the crime.
- d. The crime involved use of a firearm.

2. Mitigating Factors: The inmate has a minimal history of criminal behavior.

II. PRECONVICTION FACTORS:

A. Juvenile Record: None.

B. Adult Convictions: On 3/30/92, Ngo was arrested by the San Gabriel Police Department for Possession of a Controlled Substance (three pieces of rock cocaine). On 5/7/92 he was diverted pursuant to Section 1000 of the Penal Code. On 9/22/92, Ngo was arrested by the Olympia Sheriff's Office for Possession of Stolen Property. This case was Subsequently dismissed. Information obtained from CI&I.

C. Personal Factors: Ngo was born in Vietnam on 5/18/73. He has resided in the United States since 1979. In 1991 he graduated from Fullerton High School and subsequently attended Fullerton Community College and Pasadena City College. He completed ten units and his major was business. Ngo was employed as a telemarketer and worked odd jobs. He was employed at his family's liquor store and resided with his parents. Ngo had problems with controlled substances or alcohol. He was a member of the "Fullerton Boyz," and after his move to Los Angeles he became affiliated with the "Tiger Mafia."

III. POSTCONVICTION FACTORS:

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LIFE PRISONER EVALUATION REPORT
INITIAL PAROLE CONSIDERATION HEARING
APRIL 2002 CALENDAR

3

- A. Special Accommodations/Disability: None.
- B. Custody History: Ngo was received by R.J. Donovan Correctional Facility for Initial Processing on 2/1/84. He was transferred to Centinela State Prison on 3/17/94 and placed on Close A Custody. On 5/16/95 he was transferred to California State Prison at Lancaster and placed on Medium A Custody. On 12/16/98 he was transferred to the Correctional Training Facility and continued Medium A Custody. Ngo has been assigned to the Yard Crew and various positions as a clerk. He has completed courses in Tuberculosis, AIDS and Hepatitis. Ngo successfully completed Vocational Auto Upholstery, Automotive Refinishing and Vocational Auto Paint.
- C. Work, Education, Vocation, Therapy & Self-Help Activities: Ngo has completed self-help courses in Salesmanship and Parenting and has been a participating member of Alcoholics Anonymous and Narcotics Anonymous.
- D. Disciplinary History: Ngo has received only two CDC 128A's during his incarceration. See Disciplinary Sheet for details.

IV. FUTURE PLANS:

- A. Residence: Ngo plans to reside with his mother, Phuong Hungk Ngo, at 709 Triana Street, Monterey Park, California, (714) 827-7832.
- B. Employment: Ngo plans to work at the family liquor store which is owned by his sister, Julie Seeto, in Anaheim. His uncle, Calvin Ung, owns a restaurant in Anaheim and has offered Ngo a job as a cook.

- V. USINS STATUS: Ngo is a United States Citizen.

VI. SUMMARY:

- A. Considering the commitment offense, minimal prior arrest record and prison adjustment, the writer believes Ngo would probably pose a moderate to low degree of threat to the public at this time, if released from prison. This opinion is based on Ngo being immature at the time of the crime and easily influenced by his peers. The crime was episodic in nature. Ngo has a limited criminal history and has not shown a pattern of violence in custody.
- B. Prior to release Ngo could benefit from remaining disciplinary free and completing an additional vocational program.

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LIFE PRISONER EVALUATION REPORT
INITIAL PAROLE CONSIDERATION HEARING
APRIL 2002 CALENDAR

4

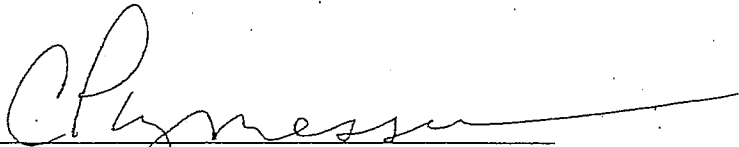
- C. This report is based upon a one hour interview, incidental contact in the housing unit and a thorough review of the Central File.
- D. Ngo reviewed his Central File on 1/18/02.

LIFE PRISONER EVALUATION REPORT
INITIAL PAROLE CONSIDERATION HEARING
APRIL 2002 CALENDAR

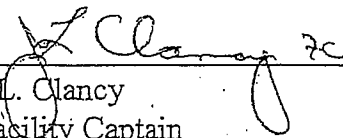
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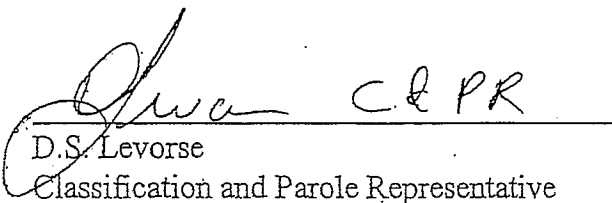
M. Rubio
Correctional Counselor I



C. Plymesser
Correctional Counselor II



J.L. Clancy
Facility Captain



D.S. Levorse
Classification and Parole Representative

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EXHIBIT I

COPY TO I/M
VIA

CC-1 ON

1-8-97'
(date)

PSYCHOLOGICAL EVALUATION FOR
THE BOARD OF PRISON TERMS
MARCH 1997 CALENDAR
CALIFORNIA STATE PRISON-LOS ANGELES COUNTY

NAME: NGO, SIEU
CDC#: J-07024
DATE OF EVALUATION: December 27, 1996

IDENTIFICATION/FORENSIC DATA:

This is the psychological report for the "Documentation #1 Hearing" scheduled for March of 1997 for Sieu Ngo; CDC# J-07024. On October 21, 1993; Mr. Ngo was convicted by jury trial of P.C. 187A murder in the second degree, reduced from first degree in exchange for agreeing to a 15-to-life sentence and no appeal, with enhancement P.C. 12022 vicariously armed with a gun. He was sentenced to 16-years-to-life. The crime occurred September 18, 1992. Mr. Ngo and four co-defendants beat and shot in the back a fifteen year old member of an enemy gang. Mr. Ngo stated that he agreed with the records of the crime, the verdict, and the sentencing. However, he did state at the time of the trial that no one intended to kill the victim only to beat him up.

Past prior offenses include a two year drug diversion, for possession of Cocaine and several other arrests which did not result in convictions. There have been no prior psychological Board of Prison Terms reports.

BACKGROUND INFORMATION:

The evaluation consisted of a single 45-minute interview, for the purposes of this report. This was after review of the Central File and medical records on 12/27/96. There are no records of past psychological/psychiatric treatment.

Mr. Ngo has received no disciplinary actions. Not a single 115 or 128A. Mr. Ngo completed two years of college prior to coming to prison. During incarceration, his vocational training in auto upholstery earned him good comments from his instructors such as, "doing well - shows enthusiasm". He received several certificates of completion. The last one in October of 1996. Mr. Ngo has attended Narcotic's

PSYCHOLOGICAL EVALUATION FOR
THE BOARD OF PRISON TERMS
MARCH 1997 CALENDAR
DATE OF EVALUATION: December 27, 1996
PAGE 2

Anonymous and received a one year perfect attendance certificate in November of 1996. He has not been employed in prison. Prior to incarceration, he was employed in sells, telemarketing, and as a cook while a student in junior college.

The historical information supplied by the inmate agreed with the records and there were no distortions.

PRESENT CONDITION:

His Central File contains no records of past mental illness; however, the inmate reported a history of depression, with three suicide attempts during his adolescence. He has records of hospitalization for the suicide attempts and his family will be obtaining these records for entry in his Central File. He has numerous cuts and slices on his arm from suicide attempts. Mr. Ngo describes his level of insight at the time of the crime as very limited, naive, showing poor judgment in his friends and activities. He had decided to disengage from these friends and moved away one year prior to the crime, which occurred while he was visiting. Now, he reports he is able to think before acting; he has changed his attitude to one of valuing service to his fellow man such as mentoring and tutoring. The remorse he expresses is sincere. He shows much shame and self-incrimination for the crime. In hindsight, he sees that he perhaps could have stopped the incident and now has great empathy and remorse for the family of the victim.

MENTAL STATUS AND DIAGNOSIS:

Mental status indicators are clear in all areas: mood and affect, behavior, speech, thought content and processes, and cognition.

AXIS I: V71.09 NO DIAGNOSIS OR CONDITION.

AXIS II: V71.09 NO DIAGNOSIS.

AXIS III: NONE.

PSYCHOLOGICAL EVALUATION FOR
THE BOARD OF PRISON TERMS
MARCH 1997 CALENDAR

DATE OF EVALUATION: December 27, 1996

PAGE 3

AXIS IV: PSYCHOSOCIAL STRESSORS: INCARCERATION.

AXIS V: GLOBAL ASSESSMENT OF FUNCTIONING = 75.

CONCLUSIONS AND RECOMMENDATIONS:

Mr. Ngo's history of depression and suicide attempts may have contributed to his state of mind and poor judgment during the time of the crime. There are no records of any mental health treatment during incarceration and Mr. Ngo is no longer depressed. He has increased his chances for successful re-entry into society by setting educational goals in academic subjects and a good start in attendance in Narcotic's Anonymous. He plans to start Alcoholic's Anonymous in 1997. He also has excellent family support who can provide him a place to live, employment, and are willing to pay for correspondence courses for him during incarceration. This inmate is of above average intelligence and has excellent potential in intellectual endeavors. He is no longer depressed and is now able to recognize the symptoms of depression and would seek help as needed. Also in his favor is the absence of any indications of violence other than the crime.

To continue positive programming, Mr. Ngo needs to regularly attend Narcotic's Anonymous and Alcoholic's Anonymous, remain disciplinary-free, and pursue higher education through correspondence courses. By reducing his points, he can possibly transfer to other institutions that facilitate correspondence studies and provide other self-help group opportunities. Psychotherapy is not part of his program unless he has a recurrence of his depression.

C. Schroeder Ph.D.
C. SCHROEDER, Ph.D.
Staff Psychologist

1/6/97
Date

Orig: C-File
cc: Medical Records Psych. Inmate

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EXHIBIT J

MINUTE ORDER

Case Number M-10984 X A

People Vs Ngo, Sieu

Report Request Criteria

- | | |
|--------------------------|-------------------|
| 1. Docket Date Range | : Date filter |
| 2. Sequence Number Range | : Sequence filter |
| 3. Docket Category | : Category filter |

<u>Docket Dt</u>	<u>Seq</u>	<u>Text</u>
8/31/2006	1	Hearing held on 08/31/2006 at 09:00 AM in Department C5 for Chambers Work.
	2	Officiating Judge: Kazuharu Makino, Judge
	3	Clerk: L. Torres
	4	No Court Reporter present at proceedings.
	5	No appearances.
	6	Order denying Writ of Habeas Corpus filed.
	7	Petition for Writ of Habeas Corpus is denied for the reasons stated in the order denying writ filed 08/18/2006.
	8	As ordered, the clerk this date has mailed a copy of this minute order to the Petitioner at MARILEE MARSHALL & ASSOCIATES 523 WEST SIXTH STREET SUITE 1109 LOS ANGELES, CA. 90014
	9	The clerk this date has forwarded a copy of this minute order to Orange County District Attorney's Office.

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FILED
SUPERIOR COURT OF CALIFORNIA
COUNTY OF ORANGE
CENTRAL JUSTICE CENTER
AUG 31 2006
ALAN SLATER, Clerk of the Court
BY: *[Signature]* DEPUTY

**SUPERIOR COURT OF THE STATE OF CALIFORNIA
FOR THE COUNTY OF ORANGE**

In re

CASE NO. M-10984

SIEU NGO,

Petitioner,

ORDER

ON HABEAS CORPUS.

TO THE PETITIONER AND THE OFFICE OF THE ATTORNEY GENERAL OF THE STATE OF CALIFORNIA, HAVING RECEIVED THE PETITION FOR WRIT OF HABEAS CORPUS, THE COURT FINDS AND ORDERS AS FOLLOWS:

In 1993, Petitioner was a gang member who, along with four other members of his gang, attacked a 15-year-old rival gang member. The victim was beaten, kicked and finally shot and killed. Petitioner was not the shooter. In 1994, Petitioner entered a plea to second degree murder and was sentenced to 16 years to life. He became eligible for parole in 2003. He had a subsequent parole hearing in February 2006.

At the February 2006 hearing, the Board of Parole Hearings (hereafter individually and collectively referred to as "the BPH") found Petitioner would pose an unreasonable risk of danger to society or a threat to public safety at this time if he were released. In announcing its decision, the BPH stated the crime was carried out in an especially cruel and callous manner in that the 15-year-old victim was attacked and beaten and ultimately shot in the back and died at the scene. The offense displayed a disregard for public safety in that it occurred near a school. During the hearing, Petitioner stated that he thought he was going to a fist fight; he saw the gun under a car seat, but he did not intend that the victim would be killed and did not realize anyone else had that intent. The BPH concluded that Petitioner's statement minimized the gravity of the

crime as well as his involvement, and demonstrated a lack of insight. Based on that, the BPH stated it was "hard . . . to get a gauge on what risk [Petitioner] would pose to public safety when [it cannot] feel comfortable about the level of insight [Petitioner] displayed."

The BPH acknowledged that Petitioner was a model prisoner. He had no record of misconduct in prison and only two minor rule violations, one being for window coverings. Petitioner had participated in multiple self-help programs, had made substantial progress toward a college degree, had outstanding parole plans, and a psychological report supported his release. The psychologist had concluded Petitioner's violence potential was less than the average citizen in the community. Nevertheless, the BPH determined it would not grant parole. Based on Petitioner's statement that he thought he was going to a fist fight, the BPH believed Petitioner was minimizing the gravity of the crime as well as his involvement, and demonstrating a lack of insight. Based on Petitioner's lack of insight, the BPH concluded it was "hard . . . to get a gauge on what risk" Petitioner would pose to public safety. Parole was denied for two years.

II

Petitioner argues there was no evidence to support the BPH decision that he posed an unreasonable risk of danger to society if released from prison. Petitioner acknowledges that the requirements of due process are satisfied if "some evidence" supports the decision. (*In re Rosenkrantz* (2002) 29 Cal.4th 616, 626.) He contends, however, that the factors set forth in California Code of Regulations, title 15, section 2402 all indicate his suitability for parole. He points out that the psychological evaluation was extremely supportive of release and the evaluator concluded Petitioner's violence potential was less than the average citizen in the community. Further, the crime occurred when he was 19. He is now 33. He has a flawless prison record, has participated in numerous self-help programs and has upgraded educationally. He also argues, citing Penal Code section 3041, subdivision (b), that a life prisoner must be paroled when his release would not pose a danger to the public.

Petitioner further contends that denial of parole based solely on the unchanging facts of his commitment offense violated the constitutional prohibition against cruel and unusual punishment. Even assuming the BPH characterization of the offense was accurate, he argues, the crime alone cannot support a finding he currently poses a risk to society.

III

The petition is denied on the following grounds:

The California Supreme Court in *In re Dannenberg* (2005) 35 Cal.4th 1061 held that the BPH "may protect public safety" by "considering the dangerous implications" of the commitment offense. (*Id.* at p. 1071.) This applies to crimes such as Petitioner's. (Pen. Code, § 3041; *In re Dannenberg, supra*, at pp. 1082-1084.) Thus, while the BPH must point to factors "beyond the minimum elements of the crime . . . it need engage in no further comparative analysis before concluding that the particular facts of the offense make it unsafe, at that time, to fix a date for the prisoner's release." (*In re Dannenberg, supra*, at p. 1071.) Here, the BPH pointed over and over to the gang-related nature of the offense. Petitioner was not the shooter; nevertheless the death of the young victim resulted from gang rivalry, a factor beyond the minimum elements of second degree murder. (Compare *In re Shaputis* (2005) 135 Cal.App.4th 217 [no evidence of conduct beyond the minimum required for conviction of second degree murder where wife-victim died from a single gunshot wound fired at close range after marital argument and the petitioner immediately called police and turned himself in].) The BPH was therefore not required to consider the factors set forth in California Code of Regulations, title 15, section 2402. (*In re Dannenberg, supra*, at p. 1071.)

Moreover, the BPH "shall set a release date *unless* it determines that the gravity of the current convicted offense . . . is such that consideration of the public safety requires a more lengthy period of incarceration for this individual, and that a parole date, therefore, cannot be fixed at this meeting." (Pen. Code, § 3041, subd. (b), emphasis added.) The BPH focused on Petitioner's statement he thought he was going to a fist fight and concluded he was minimizing the gravity of the crime and his involvement and demonstrating a lack of insight. It determined public safety considerations required more incarceration and denied parole on that basis. The denial was therefore authorized by Penal Code section 3041, subdivision (b.). (*In re Dannenberg, supra*, at p. 1071.) The petition is denied on that basis. (*Ibid.*)

IV

The petition for a writ of habeas corpus is DENIED.

DATED: 8-31-08

K Makin
JUDGE OF THE SUPERIOR COURT

EXHIBIT K

IN THE COURT OF APPEAL OF THE STATE OF CALIFORNIA

FOURTH APPELLATE DISTRICT

DIVISION THREE

COURT OF APPEAL-4TH DIST DIV 3
FILED

NOV 09 2006

In re SIEU NGO

on Habeas Corpus.

G037732

Deputy Clerk _____

(Super. Ct. No. M10984)

ORDER

THE COURT:*

The petition for a writ of habeas corpus is DENIED.

O'LEARY, J.

O'LEARY, ACTING P. J.

* Before O'Leary, Acting P. J., Aronson, J., and Ikola, J.

COPY

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DECLARATION OF SERVICE BY MAIL

I, the undersigned, declare:

I am over eighteen (18) years of age, and not a party to the within cause; my business address is 523 West Sixth Street, Suite 1109, Los Angeles, CA. 90014. That on December 6, 2006, I served a copy of the within:

**EXHIBITS IN SUPPORT OF
PETITION FOR WRIT OF HABEAS CORPUS**

On the interested parties by placing them in an envelope (or envelopes) addressed respectively as follows:

Office of the Attorney General
P.O. Box 85266
San Diego, CA 92186-5266

Court of Appeal
Fourth Appellate District / Division 3
925 N. Spurgeon Street
Santa Ana, CA 92701-3700

Mr. Sieu Phong Ngo
J-07024/ B-wing 319-up
CTF- Soledad
P.O. Box 689
Soledad, CA 93960-0689

Each said envelope was then, on December 6, 2006, sealed and deposited in the United States mail at Los Angeles, California, the county in which I maintain my office, with postage fully prepaid.

I declare under penalty of perjury that the foregoing is true and correct.
Executed on December 6, 2006, at Los Angeles, California.


SHANNON CALLAHAN